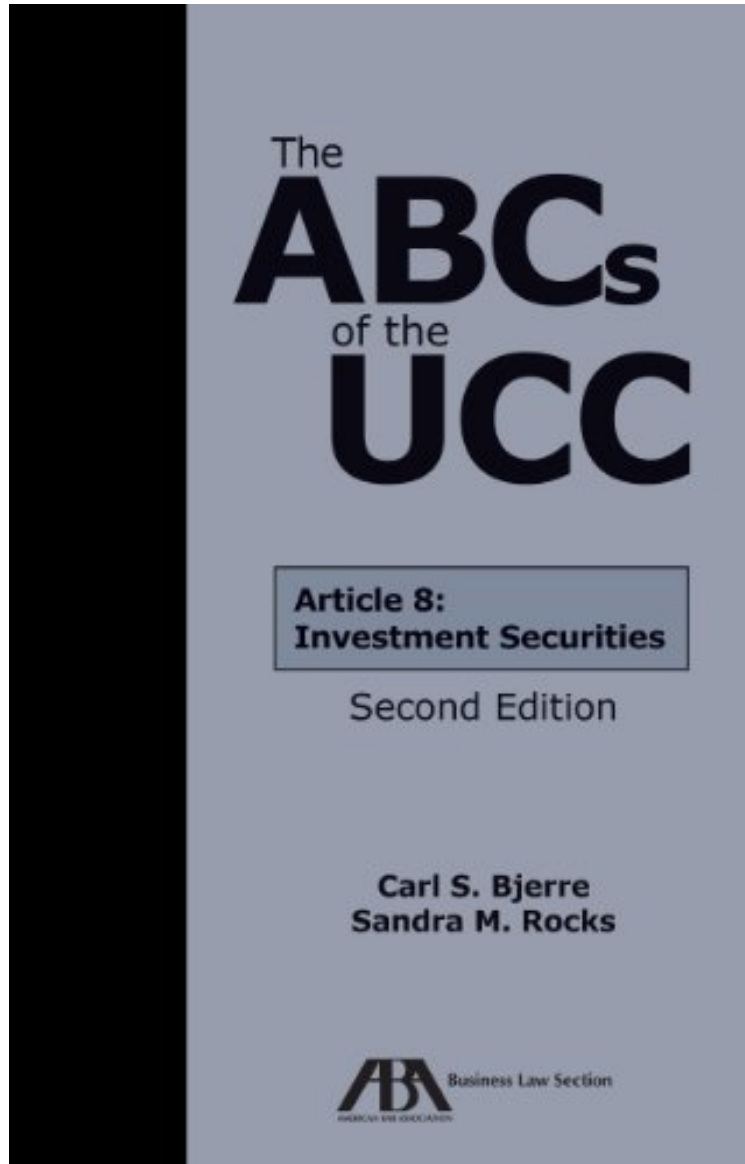


(Library ebook) The ABCs of the UCC: Article 8 Investment Securities

The ABCs of the UCC: Article 8 Investment Securities

Carl S. Bjerre, Sandra M. Rocks

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This primer provides a guide to the current version of Article 8 of the Uniform Commercial Code, which governs the

ownership and transfer of securities and, to a limited extent, other financial assets. This book also discusses the portions of Article 9 that relate to security interests in these assets. Article 8 is concerned with the settlement of transactions in securities and with certain aspects of the relationships between issuers and investors, intermediaries and those for whom securities accounts are maintained, and sellers or pledgers and their purchasers. A re-release of The ABCs of the UCC Article 8 that first published in 2004.

About the Author Carl S. Bjerre is associate professor of law at the University of Oregon School of Law. He serves on the National Conference of Commissioners on Uniform State Laws. Sandra M. Rocks is Counsel at Cleary, Gottlieb, Steen and Hamilton, resident in the New York office. Her practice focuses on commercial financing, including specialties in secured transactions and bankruptcy law, representing investment banking institutions, broker-dealers and clearing corporations, among others.