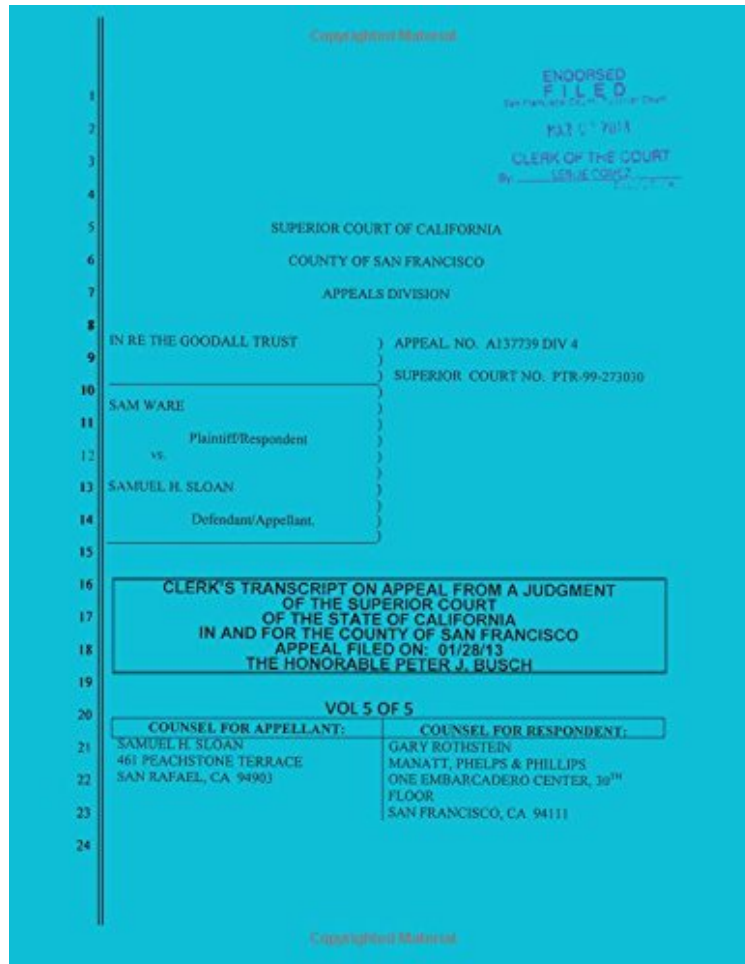


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Plaintiff _____CLERK'S TRANSCRIPT ON APPEAL

FROM A JUDGMENT OF THE STATE OF CALIFORNIA IN AND FOR THE COUNTY OF SAN FRANCISCO
APPEAL FILED ON 01/28/13 THE HONORABLE PETER J. BUSCH VOL 5 of 5 The Record of this Case includes
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About the Author Samuel Howard Sloan is an American chess player and book publisher. In 2006, Sloan served on the
Executive Board of the United States Chess Federation. In 1970, Sloan established a registered broker-dealer that
traded over-the-counter stocks and bonds. Sloan had no formal legal training but orally argued a case before the
United States Supreme Court after litigating against the Securities and Exchange Commission over policies regarding
the trading of penny stocks. The Court ruled in his favor, 90, concerning his claim that the "tacking" of 10-day
summary suspension orders for an indefinite period was an abuse of the agency's authority and a deprivation of due
process. SEC vs. Samuel H. Sloan, 436 US 103 (1978)